

## PATENT APPLICATION

### **Network Apparatus, Network System and Software Updating Method for Network Apparatus**

Inventors: **Rodney Gordon Webster**  
Citizenship: Australia

**Yoshimichi Kudo**  
Citizenship: Japan

**Tadashi Kuwabara**  
Citizenship: Japan

Assignee: **Hitachi, Ltd.**  
6, Kanda Surugadai 4-chome  
Chiyoda-ku, Tokyo, Japan  
Incorporation: Japan

Entity: Large

- 1 -

NETWORK APPARATUS, NETWORK SYSTEM AND SOFTWARE  
UPDATING METHOD FOR NETWORK APPARATUS

BACKGROUND OF THE INVENTION

The present invention relates to a method of updating system software of a network apparatus on a network from another network apparatus in a network system having network apparatuses capable of reading data on the network, and to a network apparatus used in such a network system.

A communication system between AV apparatuses and information processing apparatuses and the like is now under development, in which system apparatuses are interconnected by IEEE1394 serial buses capable of data transmission through isochronous communications and control signal transmission through asynchronous communications. The 1394 serial bus is standardized in "IEEE Std 1394-1995" as "IEEE Standard for a High Performance Serial Bus".

As a communication protocol to be used for mutual control of A/D apparatuses interconnected by 1394 serial buses, "Specification of the Home Audio/Video Interoperability (HAVi), Version 1.0" (hereinafter abbreviated to a HAVi specification) is made public. A method of providing code units for controlling its own apparatus is also made public in this HAVi specification.

Each apparatus in HAVi has "Self Describing Device Data" (hereinafter abbreviated to SSD data) for making information including its own functions open to other apparatuses. The details of SSD data are

5 described in the HAVi specification. SSD data is stored in a configROM of each apparatus in a HAVi\_Unit\_Directory so that other apparatuses can refer to the SDD data via 1394 serial buses. This configROM has an address space capable of being referred to by

10 using asynchronous communications of the 1394 serial bus, and its format is defined in "ISO/IEC 13213 : 1994 Control and Status Register (CSR) Architecture for Microcomputer Buses (IEEE Std 1212-1994)" and "IEEE P1212 Draft 1.0, Draft Standard for a Control and

15 Status Registers (CSR) Architecture for Microcomputer Buses, October 18, 1999". The data structure defined by these specifications as well as Directory and Leaf of vendor-specific Vendor\_Info can be defined for the configROM.

20 In HAVi, AV apparatuses are classified into control apparatuses and controlled apparatuses. A "Base AV (BAV) Device" (hereinafter abbreviated to BAV) is a typical controlled apparatus and has SDD data and DCM which are uploaded to the control apparatus. A

25 "Full AV (FAV) Device" (hereinafter abbreviated to FAV) is a typical control apparatus and has HAVi system components and Java execution environments.

If a network apparatus has its own network

protocol software whose version is older than a nearby apparatus, a program portion not dependent upon OS is downloaded from the nearby apparatus to update it.

This technique is made public in JP-A-2000-244513

5 (First Prior Art).

A network apparatus capable of updating the systems of all apparatuses of the same kind on the network is made public in JP-A-2000-194543 (Second Prior Art).

10 According to the First Prior Art, an apparatus to be updated acquires data newer than its own software from another apparatus connected to the network. According to the Second Prior Art, an apparatus having data for updating the system sends the  
15 data to another apparatus connected to the network. These approaches do not consider sufficiently the case that a number of apparatuses are connected to the network. Therefore, a conventional network system is associated with the following problems.

20 With the First Prior Art, the update process for an apparatus is performed in an apparatus unit (i.e., one apparatus after another). In order to update software of all apparatuses on the network, the same update process is required to be repetitively  
25 performed for all apparatuses. It takes time and a system load increases. With the Second Prior Art, in the system implemented by an object oriented language such as Java, even if a plurality of apparatuses have

data for updating some of system components of other apparatuses, a partial update cannot be performed.

#### SUMMARY OF THE INVENTION

It is an object of the invention to provide  
5 an efficient approach to updating and optimizing each system component which is a software module constituting a system of a network apparatus.

In order to achieve the above object, the invention adopts the following representative  
10 structure.

In a network system connecting a plurality of network apparatuses, a network apparatus (FAV) having the latest last update date and time collects update data detailed information and apparatus detailed  
15 information from other network apparatuses (FAV), and calculates optimum update data for each apparatus in accordance with the collected information. In accordance with the calculation results, necessary update data is acquired from each network apparatus,  
20 and transmitted to necessary network apparatuses to update the system components thereof.

Data for updating system components of each apparatus is stored in a configROM. A method can therefore be provided which updates system components  
25 of each apparatus in a HAVi network even if an external network cannot be connected.

According to another aspect of the invention

TOP SECRET 642500

1005749-101901  
TOP SECRET

solving the above problem, there is provided a software updating method for a network apparatus in a network system connecting a plurality of network apparatuses, comprising: a step of one network apparatus connected to a network acquiring system information of software installed in other network apparatuses; a step of acquiring generation information of system update modules from the system update modules, the system update modules being possessed by the other network apparatuses and used for updating software of the other network apparatuses; a step of obtaining optimum update data necessary for optimizing software of each network apparatus connected to the network, by using generation information contained in the system information and the generation information of the system update modules; and a step of acquiring system update modules containing the optimum update data from the network apparatuses having the optimum update data, and transmitting system update modules to a network apparatus necessary for optimizing the software to update the software by using the optimum update data.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a diagram showing an example of the structure of a network system using 1394 serial buses according to a first embodiment of the invention.

Fig. 2 is a diagram showing another example of the structure of a network system using 1394 serial

buses according to a second embodiment of the invention.

Fig. 3 is a diagram showing another example of the structure of a network system using 1394 serial  
5 buses according to a third embodiment of the invention.

Fig. 4 is a diagram showing the structure of data and its additional information for updating system components according to an embodiment of the invention.

Fig. 5 is a diagram showing the structure of  
10 functions of a system and system information containing detailed information of each installed system component.

Fig. 6 is a flow chart illustrating a process  
of updating a system component of an apparatus on a  
15 network to which FAV having data for updating system components is connected, according to the first embodiment of the invention.

Fig. 7 is a flow chart illustrating a process  
of updating a system component of an apparatus on a  
20 network to which FAV inserted with a storage medium storing data for updating system components is connected, according to the second embodiment of the invention.

Fig. 8 is a flow chart illustrating a process  
25 of updating a system component of an apparatus on a network in which data for updating system components is downloaded from an external network, according to the third embodiment of the invention.

## DESCRIPTION OF THE EMBODIMENTS

First, with reference to Fig. 6, a process will be described in which in a network system shown in Fig. 1, a system update manager 112 of FAV 110 operates  
5 as a network update manager, checks system update modules of its own, FAV 120 and FAV 130, and updates system components of all apparatuses by using optimum update data.

Fig. 1 is a diagram showing the structure of  
10 a HAVi network according to a first embodiment, and Figs. 4 and 5 are diagrams showing an example of the structure of a configROM showing system information and system update modules.

Referring to Fig. 1, reference numeral 100  
15 represents a HAVi network system, and reference numerals 110, 120 and 130 represent FAV's. The structure of each apparatus shown in Fig. 1 is as follows. Reference numerals 111, 121 and 131 represent HAVi systems of FAV 110, FAV 120 and FAV 130,  
20 respectively. Reference numerals 112, 122 and 132 represent system update managers, reference numerals 113, 123 and 133 represent IEEE1394 interfaces (hereinafter abbreviated to 1394 I/F). Reference numerals 114, 124 and 134 represent configROM's. In  
25 each configROM, reference numerals 115, 125 and 135 represent system information, and reference numerals 116, 126 and 136 represent system update modules.

New definitions other than those defined by



the HAVi specification are as follows. The system update managers 112, 122 and 132 are system components which manage update of system components of apparatuses. A system update manager for collectively managing update of the whole network is called a network update manager which acquires update modules and their detailed information from other apparatuses and supplies the update modules and their detailed information to a third apparatus to update the system.

10 In Fig. 4, reference numeral 200 represents a system update module, reference numeral 201 represents system component identification information for identifying a corresponding system component, reference numeral 202 represents generation information, 15 reference numeral 203 represents compatibility information which describes the compatibility of the functions of the apparatus relative to other system components for each generation, reference numeral 205 represents a URL used for acquiring latest system 20 update modules via the Internet, reference numeral 206 represents an explanation statement which explains the updated contents, and reference numeral 207 represents system update data for updating a real system component.

25 In Fig. 5, reference numeral 300 represents system information, reference numeral 301 represents apparatus identification information for identifying the apparatus, and reference numeral 302 represents

last update date and time indicating the day and time when the system of the apparatus was updated lastly. Reference numeral 310 represents apparatus function information of hardware and software functions provided by the apparatus, and reference numeral 311 represents function identification information for identifying each function. Reference numeral 320 represents system component information of an installed system component, reference numeral 321 represents system component identification information of a system component, and reference numeral 322 represents generation information of a current generation of each system component.

Fig. 6 is a flow chart illustrating a process of updating a system component of an apparatus on the network. With reference to Fig. 6, the update process of updating each apparatus connected to the network will be described, in which the system update manager 112 of FAV 110 becomes a network update manager.

First, at Step 1001a, FAV 110 is connected to the network 100 so that a network reset occurs (Step 1001b).

Next, at Step 1002, FAV 110, FAV 120 and FAV 130 check the last update date and time 302 from the system information 115, 125 and 135 stored in the configROM's 114, 124 and 134. The latest updated apparatus becomes a network update manager. If there are a plurality of same last date and time sets, the apparatus having a largest number corresponding to the

apparatus identification information 301 is selected.  
It is herein assumed that the system update manager 112  
of FAV 110 is selected as the network update manager.

At Step 1003, the system update manager 112  
5 of FAV 110 as the network update manager collects the  
system information 115, 125 and 135 from the  
configROM's 114, 124 and 134 of the apparatuses, and  
collects the system component identification  
information 201, generation information 202 and  
10 compatibility information 203 from the system update  
modules 116, 126 and 136.

At Step 1004, in accordance with the  
information collected at Step 1003, the system update  
manager 112 of FAV 110 calculates an optimum  
15 combination for each apparatus. This calculation is  
performed with the highest priority that system  
components to be installed have a newer generation than  
the presently installed system components and that  
there is compatibility with other system components  
20 (already installed system components and installable  
system components). Only the system components  
supported by the functions in the apparatus function  
information 310 are installed.

At Step 1005, in accordance with the  
25 calculation results at Step 1004, the system update  
manager 112 of FAV 110 reads necessary system update  
modules from the configROM's 114, 124 and 134 of the  
apparatuses.

At Step 1006 the system update manager 112 of FAV 110 transmits the system update modules acquired at Step 1005 to each apparatus necessary for updating.

At Step 1007, upon reception of the system  
5 update module, each apparatus performs authentication by using the stored authentication data 204.

At Step 1008, if the authentication succeeds, the flow advances to Step 1009, whereas if not, the process is terminated.

10 At Step 1009, at the apparatus which received the system update module and succeeded the authentication, the system update manager installs a new system component.

The description has been made for the  
15 operation of updating a system component of an apparatus on the network according to the first embodiment.

Next, a second embodiment of the invention will be described.

20 Fig. 2 is a diagram showing the structure of a HAVi network according to the second embodiment, and Fig. 7 is a flow chart illustrating a process of updating a system component of an apparatus on a network by using a system update module stored in a  
25 removable storage medium.

In Fig. 2, reference numeral 100 represents a HAVi network system having the structure similar to the network structure shown in Fig. 1. Different points of

the structure of FAV 110 are a storage medium interface 117 (hereinafter abbreviated to a storage medium I/F) 117, a removable storage medium 140 and a system update module 141 stored in the storage medium 140.

5               Next, with reference to Fig. 7, a process of updating a system of an apparatus on the network by using a system update module stored in the storage medium 140 will be described, the process being executed by the system update manager 112 of FAV 110.

10              At Step 1101, the storage medium 140 is inserted into FAV 110.

                At Step 1102, the system update manager 112 of FAV 110 becomes a network update manager and acquires the system component identification  
15   information 201, generation information 202 and compatibility information 203 from the system update module 141 stored in the storage medium 140.

                At Step 1103, the system update manager 112 of FAV 110 collects the system information 115, 125 and  
20   135 from the configROM's 114, 124 and 134 of the apparatuses, and collects the system component identification information 201, generation information 202 and compatibility information 203 from the system update modules 116, 126 and 136.

25              At Step 1104, in accordance with the information collected at Steps 1102 and 1103, the system update manager 112 of FAV 110 calculates an optimum combination for each apparatus. This

100549-101901

calculation is performed with the highest priority that system components to be installed have a newer generation than the presently installed system components and that there is compatibility with other  
5 system components (already installed system components and installable system components). Only the system components supported by the functions in the apparatus function information 310 are installed.

At Step 1105, in accordance with the  
10 calculation results at Step 1104, the system update manager 112 of FAV 110 reads system update modules 141 from the storage medium 140.

At Step 1106, in accordance with the  
calculation results at Step 1104, the system update  
15 manager 112 of FAV 110 reads necessary system update modules from the configROM's 114, 124 and 134 of the apparatuses.

At Step 1107, the system update manager 112  
of FAV 110 transmits the system update modules acquired  
20 at Steps 1105 and 1106 to each apparatus necessary for updating.

At Step 1108, upon reception of the system  
update module, each apparatus performs authentication  
by using the stored authentication data 204.

25 At Step 1109, if the authentication succeeds,  
the flow advances to Step 1110, whereas if not, the  
process is terminated.

At Step 1110, at the apparatus which received

FIG. 11

the system update module and succeeded the authentication, the system update manager installs a new system component.

The description has been made for the operation of updating a system component of an apparatus on the network by using the storage medium, according to the second embodiment.

Next, a third embodiment of the invention will be described.

Fig. 3 is a diagram showing the structure of a HAVi network according to the third embodiment, and Fig. 8 is a flow chart illustrating a process of updating a system component of an apparatus on a network by downloading a system update module from the Internet.

In Fig. 3, reference numeral 100 represents a HAVi network system having the structure similar to the network structure shown in Fig. 1. Different points of the structure of FAV 110 are a modem 118 and a network (the Internet) 150 connected via the modem 118.

Next, with reference to Fig. 8, a process of updating a system of an apparatus on the network by downloading a system update module from the Internet will be described, the process being executed by the system update manager 112 of FAV 110.

At Step 1201, FAV 110 receives an instruction to start the update process from a user.

Next, at Step 1202, the system update manager

112 of FAV 110 acquires latest system component information by using a URL.

At Step 1203, the system update manager 112 of FAV 110 collects system information 115, 125 and 135 from the configROM's 114, 124 and 134 of the apparatuses, and collects the system component identification information 201, generation information 202 and compatibility information 203 from the system update modules 116, 126 and 136.

At Step 1204, in accordance with the information collected at Steps 1202 and 1203, the system update manager 112 of FAV 110 calculates an optimum combination for each apparatus. This calculation is performed with the highest priority that system components to be installed have a newer generation than the presently installed system components and that there is compatibility with other system components (already installed system components and installable system components). Only the system components supported by the functions in the apparatus function information 310 are installed.

At Step 1205, in accordance with the calculation results at Step 1204, the system update manager 112 of FAV 110 acquires system update modules by using the URL.

At Step 1206, in accordance with the calculation results at Step 1204, the system update manager 112 of FAV 110 reads necessary system update

20250429 10:54:04



modules from the configROM's 114, 124 and 134 of the apparatuses.

At Step 1207, the system update manager 112 of FAV 110 transmits the system update modules acquired  
5 at Steps 1205 and 1206 to each apparatus necessary for updating.

At Step 1208, upon reception of the system update module, each apparatus performs authentication by using the stored authentication data 204.

10 At Step 1209, if the authentication succeeds, the flow advances to Step 1210, whereas if not, the process is terminated.

At Step 1210, at the apparatus which received the system update module and succeeded the  
15 authentication, the system update manager installs a new system component.

The description has been made for the operation of updating a system component of an apparatus on the network by acquiring the update data  
20 from the Internet, according to the third embodiment.

Connection to the network providing update data is not limited only to using a modem, but other access/reception methods may also be used such as the ISDN, a cable model, and a satellite broadcast  
25 receiver. In this embodiment, although the update process starts upon reception of a user's instruction, other methods may be used such as receiving an instruction via an external network, and performing the

update process at a constant interval.

In the above embodiments, although the network update manager transmits the system update modules to each apparatus necessary for updating, each  
5 apparatus may acquire necessary system update modules by accessing a notified site. Further, the apparatus received a new system update module may supply it to another apparatus.

Also in the above embodiments, although each  
10 apparatus has a system update module and a system update manager, each system update manager may have a plurality of system update modules or may not have a system update modules, and each apparatus may have only a system update manager or only a system update module.

Also in the above embodiments, although a  
15 system component of HAVi is updated, software (e.g., VTR software) of another apparatus connected to the network may be updated.

In the above-described system, information of  
20 a system update module to be updated may be made open to users to allow them to determine whether update is to be performed. In such a case, approximately similar to the above embodiments, prior to the update process, the detailed information stored in a system update  
25 module is presented to users to allow them to determine whether update is to be performed. The detailed information may be generation information, explanation statement, compatibility information and the like.

As described so far, according to the invention, even if a component of system software for controlling an apparatus is revised to have a new generation, the old system component of the apparatus  
5 can be replaced by the new generation system component. It is not necessary to connect the Internet in order to update the system component. Since the update is performed in the system component unit, it is possible to deal with different types of apparatuses, reduce a  
10 load of the network, and maximize compatibility stability between system components.

TOP SECRET 6445001